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Employee Post-Travel Disclosure of Travel Expenses

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The original *Employee Pre-Travel Authorization* (Form RE-1), AND
☒ A copy of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): Stanford University's Hoover Institution

Travel date(s): April 23-25, 2019

Name of accompanying family member (if any):

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate <input checked="" type="checkbox"/> Actual Amount	\$656.00 (Flight) \$90.83 (Shuttle)	\$400.00	\$161.20	\$0

Expenses for Accompanying Spouse or Dependent Child (if applicable):


	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): An intensive program for Congressional staff which consists of three days of

seminars, simulations, and keynote presentations.

5/24/2019
(Date)

Cara Mumford
(Printed name of traveler)


(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

5/24/2079
(Date)

Rob Antonen
(Signature of Supervising Senator/Officer)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): Stanford University's Hoover Institution
2. Description of the trip: An intensive program for Congressional staff which consists of three days of seminars, simulations and keynote presentations.
3. Dates of travel: April 23-25, 2019
4. Place of travel: Stanford University, Palo Alto, CA
5. Name and title of Senate invitees: See attached list
6. I *certify* that the trip fits one of the following categories:
 - ☐ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
 - OR -
 - ☒ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
 - AND -
 - ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
 - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
 - AND -
 - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. USE ONLY IF YOU CHECKED QUESTION 6(B)

I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

- ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

- OR -

- ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (see questions 6 and 10).

- OR -

- ☒ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. USE ONLY IF YOU CHECKED QUESTION 9(B)

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

Stanford University's Hoover Institution solely planned all aspects of the trip including topics discussed, travel/accommodation logistics, and required paperwork. Hoover staff will also be responsible for traveling with Congressional staff and managing logistics for the duration of the trip.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Hoover Institution is a research institution that seeks to improve the human condition by advancing ideas that promote economic opportunity and prosperity while securing and safeguarding the peace through its world renowned scholars, library and archives, as well as by engaging Congress and its staff.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

This is the sixth sponsored trip for Congressional staff organized by the Hoover Institution. The latest of which was in August 2018 and had a similar format to this trip.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

Stanford University's Hoover Institution regularly sponsors policy panels and roundtables for think tank scholars, journalists, Congressional staff, executive branch officials, academics and members of the public.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate	\$710	\$400 total (\$200/night)	\$160 total	None
<input type="checkbox"/> Actual Amounts	\$650 Roundtrip airfare \$60 ground transportation			

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The trip involves an event that is arranged/organized specifically with regard to congressional participation.

18. Reason for selecting the location of the event or trip

In order to have a significant number of California-based Senior Fellows participate in the event, we are hosting on the Hoover Institution's headquarters on the Stanford University campus.

19. Name and location of hotel or other lodging facility:

Schwab Residential Center, 680 Serra Street, Stanford, CA 94305

20. Reason(s) for selecting hotel or other lodging facility:

Schwab Residential Center is owned and operated by Stanford University. It is in close proximity to the events that comprise the program.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Lodging expenses are less than the federal per diem for Palo Alto, CA. Meal

expenses are less than the federal per diem for Palo Alto, CA.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Stanford University's Hoover Institution will provide coach-class, round trip airfare between D.C. and San Francisco, and round trip ground transportation between Stanford University and SFO airport.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None.

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor:

Name and Title: Michael G. Franc, Director of Washington, D.C. Programs

Name of Organization: Hoover Institution

Address: 1399 New York Avenue, NW Suite 500

Telephone Number: 202-760-3203

Fax Number: 202-760-3191

E-mail Address: mfranc@stanford.edu



APRIL 23-25, 2019

HOOVER INSTITUTION, STANFORD UNIVERSITY

Note: There will be 10-minute breaks between sessions.

8:20 AM:

Depart IAD on United Airlines Flight 424

11:19 AM:

Arrive SFO, Shuttle to Lou Henry Hoover Building at Stanford University
Location: 580 Serra Mall, Stanford, CA 94305

12:30 – 1:00 PM:

Welcome by Hoover Director Tom Gilligan and Lunch

1:00 – 2:10 PM:

State of Liberal Democracy

Larry Diamond

Larry Diamond will discuss his research into what is driving the “Democratic Recession” and potential solutions to the problem.

2:20 – 3:30 PM:

History US Foreign Policy Grand Strategies

H.R. McMaster will discuss his research into the history of US Grand Strategy, the foundational international relations theory that guides how the US conducts foreign policy.

3:40 – 4:50 PM:

America's Place in the World Economy

Edward Lazear

Edward Paul Lazear will discuss his research into the US Economy and how it interacts with the global economy more broadly.

5:00 – 6:10 PM:

**Pre-Dinner Keynote
360° Look at Russia
Michael McFaul**

Michael McFaul will discuss his research into Russia and its role in the world, particularly vis a vis the United States.



6:10 – 6:30 PM: Transport to Dinner

6:30 – 8:30 PM: Informal Dinner

Location: Tacolicious, 632 Emerson Street, Palo Alto, CA 94301

8:30 PM: Transport to Schwab Residential Center

Location: 680 Serra Street, Stanford, CA 94305

WEDNESDAY, APRIL 24

All meetings will be held in: Annenberg Conference Room, 580 Serra Mall, Stanford, CA 94305

8:30 – 9:00 AM: Continental Breakfast

9:00 – 10:00 AM: A view inside Iran
Abbas Milani

Abbas Milani will discuss his research into Iran and the internal politics that are driving its policies.

10:10 AM – 11:20 PM: The Shultz Hour – Issues on his mind
George Shultz

George Shultz will discuss lessons from his distinguished career as a statesman and his research into policies from the national security impacts of climate change to U.S. foreign policy.

11:30 AM – 12:30 PM: 360° Look at China
Elizabeth Economy

Elizabeth Economy will discuss her research into China and its role in the world, particularly vis a vis the United States.

12:30 PM: Lunch

12:45 – 2:00 PM: Lunch Keynote
The Future of Cyberspace
Andrew Grotto, Herb Lin, Toomas Hendrik Ilves

Andrew Grotto, Herb Lin, and Toomas Hendrik Ilves will discuss their research into cyberspace and how it will impact the future of international relations.

2:10 – 3:20 PM: AI and Geopolitics
John Villasenor

John Villasenor will discuss his research into artificial intelligence and how geopolitics will play a role in its development and implementation.

3:20 – 3:45 PM: Hoover Tower Tour

3:45 – 4:45 PM: Archives Presentation
Location: Tower Room 110

Hoover Institution archivists will present archival materials from the Hoover collection and discuss how learning from history can help drive foreign policy.

4:45 – 5:30 PM: Tour of Hoover/Stanford Campus

5:30 – 6:00 PM: Reception
Location: Courtyard

6:00 – 8:30 PM: The Peril and Promise of Cybersecurity and American Competitiveness
Alex Stamos
Location: Pavilion

Alex Stamos will discuss his research into how American competitiveness impacts cybersecurity and what that means for governance in the United States.

8:30 PM: Walk to Schwab Residential Center
Location: 680 Serra Street, Stanford, CA 94305

THURSDAY, APRIL 25

All meetings will be held in: Annenberg Conference Room, 580 Serra Mall, Stanford, CA 94305

9:00 – 9:30 AM: Continental Breakfast

9:30 – 10:40 AM: World Order – Structure of the System and Democracy
Niall Ferguson

Niall Ferguson will discuss his research into the history of world order and what lessons democratic nations can learn from it today.

10:40 AM: Pick up boxed lunch and Shuttle Departs Campus for SFO

1:00 PM: Depart SFO on United Airlines Flight 727

8:59 PM: Arrive IAD





1399 New York Avenue NW, Suite 500 Washington, DC 20005 202-760-3200 hooverdc@stanford.edu hoover.org/dc

Senate Staffers

1. Harris, Katherine, Counsel for the Minority, Senate Committee on Intelligence
2. Hsueh, Wallace, Deputy Chief of Staff, Sen. Steve Daines (MT)
3. Kalaris, Andrew, Legislative Correspondent, Sen. Tim Kaine (VA)
4. Meers, Therese, Counsel, Senate Committee on Small Business & Entrepreneurship
5. Miller, Brianne, Senior Professional Staff and Energy Policy Advisor, Senate Energy and Natural Resources Committee
6. Mumford, Cara, Professional Staff Member/Legislative Assistant, Sen. Portman/Homeland Security & Government Affairs Committee
7. Paxton, Nathan, Legislative Assistant, Sen. Angus King (ME)
8. Sadler, Catherine, Europe Policy Analyst, Senate Foreign Relations Committee
9. Thorlin, Jack, Policy Counsel, Senate Republican Policy Committee

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Date/Time Stamp:

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will **not** be considered or approved. This form **must** be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler: Cara Grace Mumford

Employing Office/Committee: Senate Homeland Security and Governmental Affairs Committee, Permanent Subcommittee on Investigations

Private Sponsor(s) (list all): Stanford University's Hoover Institution

Travel date(s): April 23-25, 2019

*Note: If you plan to extend the trip for any reason you **must** notify the Committee.*

Destination(s): Stanford University, Palo Alto, CA

Explain how this trip is specifically connected to the traveler's official or representational duties:

This trip is directly related to issues in Cara's portfolio, especially the cybersecurity and defense aspects of the agenda. Cara works on homeland security policy, so this fellowship would allow her to talk to experts in the field to gain a better understanding of the issues in her portfolio.

Name of accompanying family member (if any): N/A

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

(Date)

(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Senator Rob Portman hereby authorize Cara Mumford
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

(Date)

(Signature of Supervising Senator/Officer)